RESEARCH ARTICLE

A hybrid recommender system based on data enrichment on the ontology modelling [version 1; peer review: 2 approved, 1 not approved]

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V1 First published: 17 Sep 2021, 10:937
<https://doi.org/10.12688/f1000research.73060.1>
 Latest published: 17 Sep 2021, 10:937
<https://doi.org/10.12688/f1000research.73060.1>

Abstract

Background: A recommender system captures the user preferences and behaviour to provide a relevant recommendation to the user. In a hybrid model-based recommender system, it requires a pre-trained data model to generate recommendations for a user. Ontology helps to represent the semantic information and relationships to model the expressivity and linkage among the data.

Methods: We enhanced the matrix factorization model accuracy by utilizing ontology to enrich the information of the user-item matrix by integrating the item-based and user-based collaborative filtering techniques. In particular, the combination of enriched data, which consists of semantic similarity together with rating pattern, will help to reduce the cold start problem in the model-based recommender system. When the new user or item first coming into the system, we have the user demographic or item profile that linked to our ontology. Thus, semantic similarity can be calculated during the item-based and user-based collaborating filtering process. The item-based and user-based filtering process are used to predict the unknown rating of the original matrix.

Results: Experimental evaluations have been carried out on the MovieLens 100k dataset to demonstrate the accuracy rate of our proposed approach as compared to the baseline method using (i) Singular Value Decomposition (SVD) and (ii) combination of item-based collaborative filtering technique with SVD. Experimental results demonstrated that our proposed method has reduced the data sparsity from 0.9542% to 0.8435%. In addition, it also indicated that our proposed method has achieved better accuracy with Root Mean Square Error (RMSE) of 0.9298, as compared to the baseline method (RMSE: 0.9642) and the existing method (RMSE: 0.9492).

Conclusions: Our proposed method enhanced the dataset information by integrating user-based and item-based collaborative filtering techniques. The experiment results shows that our system

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Approval Status

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has reduced the data sparsity and has better accuracy as compared to baseline method and existing method.

Keywords

Information Retrieval, Ontology, Recommender System, Collaborative Filtering, Content-based System, Hybrid Recommender System

This article is included in the **Research Synergy** Foundation gateway.

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Author roles: **Chew LJ:** Conceptualization, Data Curation, Investigation, Methodology, Writing – Original Draft Preparation; **Haw SC:** Funding Acquisition, Project Administration, Supervision, Writing – Review & Editing; **Subramaniam S:** Supervision, Writing – Review & Editing

Competing interests: No competing interests were disclosed.

Grant information: This work is supported by the funding of TM Research & Development from Telekom Malaysia, Malaysia (Ref: MMUE/190002).

The funders had no role in study design, data collection and analysis, decision to publish, or preparation of the manuscript.

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How to cite this article: Chew LJ, Haw SC and Subramaniam S. **A hybrid recommender system based on data enrichment on the ontology modelling [version 1; peer review: 2 approved, 1 not approved]** F1000Research 2021, **10**:937 <https://doi.org/10.12688/f1000research.73060.1>

First published: 17 Sep 2021, **10**:937 <https://doi.org/10.12688/f1000research.73060.1>

Introduction

A Recommender System (RS) is a system that can provide item recommendation to a user based on their personalized interest. The attention for RS has increased dramatically over the past decade in various industries and domains such as e-commerce and online video streaming. There is a crucial need for having a system that can filter the numerous data around us as we are living in the area of the Internet with humungous data transactions and exchanges daily. With a properly implemented RS, the user will get a personalized recommendation based on the preferences, interest, rating, search results, the similarity between other users and so on. There are various successful use cases where RS helps in increasing the revenue of industrial, especially on online businesses. E-commerce companies such as eBay¹ and Amazon² have made use of RS to promote their products to the targeted customer. On the other hand, online video streaming company such as Netflix³ and YouTube⁴ have also implemented multiple types of RS in their system.

Generally, there are two types of RS: (1) content-based filtering (CB), and (2) collaborative filtering (CF). The CB RS provides recommendations to a user by using user preferences or history while the CF RS generates the recommendations based on the relationship between the user and item. These two methods have their advantages and shortcomings. As such, to combine the advantages and eliminate the shortcoming of each specific method, a new group of RS named hybrid RS has emerged. According to a recent survey in 2020⁵, most of the recently proposed RS techniques fall under this group. Besides that, the most proposed hybrid RS combine at least one CF method in their system. CF method can be further classified as memory-based and model-based CF. A memory-based CF suggest item based on the similarity between user or item while the model-based CF builds the model by learning the interaction between user and item. There are a few researchers who focus on enhancing the model performance by fine-tuning the parameter and method in the model development process. However, the accuracy of the model built depends on the quality of the data⁶.

On the other hand, ontology helps to structure the data in a way that the entities are connected within the database⁷. Thus, the relationship between each entity is preserved. Semantic similarity can be easily calculated by various method that the ordinary method may not be able to discover. Ontology has been proven to help in increasing the accuracy of the RS and decrease the cold start issues^{8,9}. With ontology, Manuela *et al.*¹⁰ reduced the fake neighbours' problem cause by the CF method. Tarus *et al.*¹¹ proposed an E-learning RS based on RS and the accuracy is better than using only CF without ontology. Shaikh *et al.*¹² proposed an ontology-based RS in an e-commerce website. User behaviour on the website has been captured as implicit feedback to the RS. Gohari and Tarokh¹³ proposed a hybrid method that using ontology to structure the data. User-based (UB) CF and item-based (IB) CF were used to generate the recommendation. Bagherifard *et al.*¹⁴ proposed a hybrid approach that utilizing ontology in CB and CF hybrid RS. In

their approach, the user has been clustered before calculating pass to CB and CF. This reduces the compute time of the CF RS. Celyan *et al.*¹⁵ proposed SEMCBCF, which is an ontology hybrid RS that extended from CBCF¹⁶, which is a CF RS without ontology integrated. The semantic similarity between items was calculated in their proposed system. The weighted average algorithm was used to combine the different similarity value. Nilashi *et al.*¹⁷ proposed an ontology hybrid recommendation that using IB and UB CF together with the clustering method to reduce overgeneralization. On another separate research, Liu and Li¹⁸ proposed an ontology CF RS based on Singular Value Decomposition (SVD). By employing the ontology as the data representation, the data sparsity has been decreased and the empty value of the user-item matrix was filled up based on IB CF. Inspired by their work, we proposed to address on enriching the data representation by means of ontology enrichment to give a more accurate recommendation. The summary of the recent publications has been done in Table 1.

In our proposed method, we focus on how to enrich the data information with ontology in order to increase the accuracy of the model-based RS. We proposed a method to enrich the user-item rating matrix by using the semantic similarity calculated from ontology. We added a UB RS to the item-based RS to generate the predicted rating that used to fill the user-item matrix to improve on the accuracy. In addition, our proposed approach will also reduce the main problem that usually faced in the model training, which is the data sparsity issue. With the predicted rating filled in the original user-item matrix, it can fill up the unknown value thus

Table 1. Recommendation system type and advantages of each publication.

Publication	RS Type	Advantages
10	CF	Reduce fake neighborhoods' problem.
11,12	CF	Able to capture implicit feedback.
14	Hybrid (CB and CF)	User has been clustered in ontology to reduce compute time.
13,15,17	Hybrid (IB and UB CF)	13 User demographic is used. 15 Unknown rating predicted by CB before CF process. 17 Clustering item and user to reduce overgeneralization.
18	Hybrid (IB and model-based CF)	Enriching the matrix by IB CF before the model-based CF process.

reduce the sparsity and increase the model training result. The experiment evaluations demonstrated that we have achieved higher accuracy and decrease the data sparsity problem of the original matrix.

Methods

Inspired by the data enrichment method proposed by Liu and Li¹⁸, we extended the work and proposed a hybrid method that used ontology to model the data. The semantic similarity between each attribute will be calculated by using the ontology structure. The semantic similarity will be used in the rating prediction in IB CF and UB CF. The flow diagram of our proposed method is illustrated in Figure 1. The proposed method consists of four parts:

1. Crawling extra movie information from IMDB and construct the ontology
2. Unknown Rating prediction by IB and UB CF
3. Combine predicted ratings and forms a filled user-item rating matrix
4. Model-based CF.

We have selected the MovieLens 100K dataset as this is the standard dataset used for benchmarking purpose. This dataset contains 100K rating records with 1682 movie data and 943 user profile details. However, the movie information of the MovieLens dataset is limited. To have more details for the movie, we crawled the extra information from the IMDB website such as movie country, classified, director, actors, and so on. After all the data had been crawled, we constructed the ontology representation for the dataset (see Figure 2). In the ontology representation, all the attributes nodes were connected with each other via the relationship edges. The two main nodes were User and Movie connected through their related profile node.

The semantic similarity of the dataset can be easily counted from the ontology constructed above. We used the IB CF and UB CF together to predict the unknown value from the original user-item matrix. The IB CF calculate the semantic similarity by considering the relationships between items. We used the Jaccard similarity index in calculating semantic similarity. Jaccard similarity measures the similarity by taking the

Figure 1. Flow diagram of the proposed method.

Figure 2. Ontology constructed based on the MovieLens dataset.

percentage of the intersection of two sets of data. The formula is depicted in Equation (1).

$$J(A, B) = \frac{|A \cap B|}{|A \cup B|} = \frac{|A \cap B|}{|A| + |B| - |A \cap B|} \quad (1)$$

Where $J(A, B)$: the Jaccard similarity index between data A and data B.

From the process above, we got the movie-movie similarity by each feature of the movie (see Table 2). We then combined all the movie-movie similarity by a weighted average algorithm, where the weight variables were decided by experiment evaluation to get the best combination.

After completing the IB similarity calculation, we were able to predict the unknown rating values in the user-item matrix. The theory of the prediction is finding the related movie ratings rated by the specific user. The formula used is shown in Equation (2).

$$Predicted_{u,m} = \frac{\sum FinalSim_i \times a_{u,i}}{\sum FinalSim_i} \quad (2)$$

Where i: the movie rated by the user, a: rating, u: user, m: movie

The algorithm first took all the movie rated by the specific user and compare to the similarity calculated. It then summed up the predicted value by using the weighted average method where the weight is the similarity of the movie to

Table 2. An example of a movie-movie similarity matrix.

	Movie1	Movie2	Movie3	Movie4	...
Movie1	1	0.35	0.86	0.5	...
Movie2		1	0.2	0.6	...
Movie3			1	0.88	...
Movie4				1	...
...					1

that specific movie. The predicted value put in a temporary matrix which was later combined with UB RS.

In the UB CF, we applied similar methods from the IB CF above. First, we calculated the similarity of each user features then combined it with a weighted average algorithm. With the user-user similarity calculated, we then predicted the empty movie rating by finding similar users. The similar users' rating to that specific movie was combined by the weight algorithm.

Once the two IB CF and UB CF methods were completed, the two predicted rating were then combined by using the weighted average algorithm to get the final predicted rating for filling the empty original user-item matrix. After the filling process was completed, it was then passed to the model-based CF to

construct the model. The CF model used in this paper was SVD. SVD decomposed the matrix into two lower dimensionality matrix and extracted the latent features. It is a famous method used in the model-based CF.

Results

In the evaluation, we have compared the result from the baseline model that based on SVD method alone to predict rating and an existing method that uses the IB CF to enrich the original user-item matrix.

The proposed system was developed using Jupyter Notebook 6.4.0 in Python 3.6 and Linux environment (Ubuntu 18.04). The Neo4j database has been used to store the data as the it is a graph database that our ontology representation will maintain in the data model. We applied the Root Mean square error (RMSE) algorithm to determine the accuracy of the system. It is a common approach to determine the predictive accuracy of the model¹⁹. It gives a relatively high weight to large errors. The smaller the RMSE value, the more accurate the model is.

Several experiments have been done to decide the weight variable used in combining the IB CF and UB CF. Various weightage variables ranging from 0.3 to 0.7 have been tested. Figure 3 shows that the best accuracy is achieved with a weightage of 0.5.

A similarity threshold was applied in the system to prevent destroying the original information of the original matrix when filling the empty value. Figure 4 shows that the accuracy of the model was affected by the similarity threshold. Overall, our proposed method had the lowest RMSE value across the similarity threshold testing (see Figure 5).

The experiment evaluations indicated that our proposed approach had the lowest RMSE value. With the unknown rating filled by IB and UB CF before passing to the model-based CF, the data sparsity also decreased from 0.9542% to 0.8435%.

Discussion

From the experimental results in the earlier section, we observed that adding the IB CF method to enrich the original data helped

Figure 3. RMSE of Various Ratios of User-based to Item-based Collaborative Filtering.

Figure 4. RMSE comparison with different similarity threshold and methods.

to increase the accuracy of the model-based CF RS. It helped to boost the information of the original matrix while not destroying the original information. The added user-item CF method allowed the system to get more accurate similar user and items. However, we still want to know if our proposed method works in other model-based CF RS. Hence, we change the SVD model to the SVD++ model as the enhanced proposed method and re-run the experiment. SVD++ is an extended work from SVD, which achieve better accuracy by optimizing the algorithm to consider implicit feedback²⁰. In our experiments, the results in Figure 6 below show that the enhanced proposed method with SVD++ outperforms any other method we used above with the enriched data. This helps to verify that our method can be applied to not only SVD, but any other model-based CF RS.

From all the results above, it shows that our proposed method can increase the accuracy of the model-based CF RS. By adding the UB CF method to the existing method proposed by Liu and Li¹⁸ that employed only the IB CF method, we can

achieve better accuracy than the existing method. This is due to the added UB CF method which allows the system to find the related item by user demography, whereas the IB CF method is not able to do it.

Conclusions

In this paper, we reviewed the current ontology based RS and proposed a data enrichment method which uses ontology in a hybrid RS. The proposed method increases the model-based CF RS input data quality by adding the UB CF to the existing IB CF method. Both methods use the structure of ontology to calculate the semantic similarity and, subsequently, fill the unknown rating values of the original user rating matrix. Experiment results indicated that the data sparsity problem has been minimized and the accuracy of the RS system has been increased.

Several improvements can be conducted in future including algorithm optimization. The current offline model building algorithm takes time to process and can be optimized as

Figure 5. Lowest RMSE value comparison between various methods.

Figure 6. Lowest RMSE value comparison between various methods.

parallel processing to improve the processing time. Besides, the semantic similarity calculation can be changed to the level-based calculation to fully utilise the benefits of having ontology in the system.

Data and Source Code Availability

Underlying data

Zenodo: chewljie/dataset-enrichment-RS: V1.0 Initial Release, <https://doi.org/10.5281/zenodo.5418122>

This project contains the following underlying data:

- MovieLens 100K. (<https://grouplens.org/datasets/movielens/100k/>)
- Extra movie details from OMDb API. (<https://www.omdbapi.com/>)

Data are available under the terms of the [Creative Commons Attribution 4.0 International license](#) (CC-BY 4.0).

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Current Peer Review Status:

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Reviewer Report 22 November 2021

<https://doi.org/10.5256/f1000research.76683.r97017>

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Heru Agus Santoso

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The article has a good chance of being accepted, but requires explanation in detail:

1. Please check new references, there are other types of recommended systems such as knowledge-based, hybrid etc.
2. The study focuses on enriching information of data using ontology, explain:
 - a. Method/technique how to crawl and construct the ontology;
 - b. Technique to predict rating using IB and UB;
 - c. How to combine predicted rating and user-item rating form.
3. Explain clearly, the method to improve accuracy by carrying out semantic similarity using ontology.
4. RMSE is not algorithm (see the Result), is there relation with the use of ontology? If so, how can it improve the performance of RS?

Is the work clearly and accurately presented and does it cite the current literature?

Partly

Is the study design appropriate and is the work technically sound?

Partly

Are sufficient details of methods and analysis provided to allow replication by others?

Partly

If applicable, is the statistical analysis and its interpretation appropriate?

Yes

Are all the source data underlying the results available to ensure full reproducibility?

Yes

Are the conclusions drawn adequately supported by the results?

Partly

Competing Interests: No competing interests were disclosed.

Reviewer Expertise: Information retrieval, ontology, machine learning

I confirm that I have read this submission and believe that I have an appropriate level of expertise to confirm that it is of an acceptable scientific standard.

Reviewer Report 21 October 2021

<https://doi.org/10.5256/f1000research.76683.r94868>

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Dana Sulistyo Kusumo

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This article proposes data enhancement of hybrid recommender system using ontology to model user and item relationship. This can address the cold start problem of the recommender system because it can provide information when a new user or item first comes to the recommender system. This work extends Liu and Li1 that employed only the item-based collaborative filtering method. However, the writing of this paper can be improved in the following aspects. The authors can add equations and formulas for steps in the Methods section, so that others can easily evaluate and replicate this work. As the focus of this paper is ontology extension from the previous research, please add a detailed explanation about the function of data enrichment and the ontology modeling in the Methods section. I suggest to explain how the ontology structure can be used in different cases and the scope of complexity of the ontology structure. In addition, please add more discussion about the data enrichment and use of ontology in the Discussion section.

Is the work clearly and accurately presented and does it cite the current literature?

Yes

Is the study design appropriate and is the work technically sound?

Yes

Are sufficient details of methods and analysis provided to allow replication by others?

Partly

If applicable, is the statistical analysis and its interpretation appropriate?

I cannot comment. A qualified statistician is required.

Are all the source data underlying the results available to ensure full reproducibility?

Yes

Are the conclusions drawn adequately supported by the results?

Yes

Competing Interests: No competing interests were disclosed.

Reviewer Expertise: Recommender system, information architecture, software engineering

I confirm that I have read this submission and believe that I have an appropriate level of expertise to confirm that it is of an acceptable scientific standard.

Reviewer Report 04 October 2021

<https://doi.org/10.5256/f1000research.76683.r94869>

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Author Conclusion

In this paper, the authors reviewed the current ontology based RS and proposed a data enrichment method which uses ontology in a hybrid RS. The proposed method claims to increase the model-based CF RS input data quality by adding the UB CF to the existing IB CF method. Both methods use the structure of ontology to calculate the semantic similarity and, subsequently, fill the unknown rating values of the original user rating matrix. Experiment results indicated that the data sparsity problem has been minimized and the accuracy of the RS system has been increased.

Reviewer comment

Details, such as, number of objects created, relationships, download link of the ontology, that is created and leveraged are not presented and justified. Comparison with just one dated approach is not adequate either. Hence, the paper is not recommended for indexing at this present stage.

Is the work clearly and accurately presented and does it cite the current literature?

Partly

Is the study design appropriate and is the work technically sound?

No

Are sufficient details of methods and analysis provided to allow replication by others?

No

If applicable, is the statistical analysis and its interpretation appropriate?

No

Are all the source data underlying the results available to ensure full reproducibility?

Yes

Are the conclusions drawn adequately supported by the results?

No

Competing Interests: No competing interests were disclosed.

Reviewer Expertise: Information retrieval, Web mining, machine learning, deep learning

I confirm that I have read this submission and believe that I have an appropriate level of expertise to state that I do not consider it to be of an acceptable scientific standard, for reasons outlined above.

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