RESEARCH NOTE

Effect of LXR/RXR agonism on brain and CSF A β 40 levels in rats [version 1; peer review: 1 approved, 1 approved with reservations]

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Abstract

Alzheimer's disease (AD) is characterized pathologically by the presence of amyloid plaques and neurofibrillary tangles. The amyloid hypothesis contends that the abnormal accumulation of A β , the principal component of amyloid plaques, plays an essential role in initiating the disease. Impaired clearance of soluble A β from the brain, a process facilitated by apolipoprotein E (APOE), is believed to be a contributing factor in plaque formation. APOE expression is transcriptionally regulated through the action of a family of nuclear receptors including the peroxisome proliferator-activated receptor gamma and liver X receptors (LXRs) in coordination with retinoid X receptors (RXRs). It has been previously reported that various agonists of this receptor family can influence brain A β levels in rodents. In this study we investigated the effects of LXR/RXR agonism on brain and cerebrospinal fluid (CSF) levels of A β 40 in naïve rats. Treatment of rats for 3 days or 7 days with the LXR agonist, TO901317 or the RXR agonist, Bexarotene did not result in significant changes in brain or CSF A β 40 levels.

Keywords

Alzheimer's, Apolipoprotein E, A β , liver X receptor, retinoid X receptor, Bexarotene

This article is included in the **Preclinical Reproducibility and Robustness** gateway.

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Any reports and responses or comments on the article can be found at the end of the article.

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Competing interests: All authors are employees and stockholders of Amgen, Inc.

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Introduction

Alzheimer's disease (AD) is a debilitating neurodegenerative disease and the leading cause of dementia in the elderly. It is currently estimated that 5 million people in the US and 30 million worldwide are afflicted with this disease. The pathological hallmarks of AD are the presence of extracellular amyloid plaques and intracellular neurofibrillary tangles in the hippocampus and cortical areas of the brain¹. The core constituent of the amyloid plaques is a 4 kDa peptide known as amyloid- β peptide (A β). Aggregation of A β into soluble, multimeric assemblies and insoluble amyloid fibrils is hypothesized to contribute directly to the pathogenesis of AD; therefore therapeutic strategies aimed at lowering soluble A β levels in the brain would be predicted to have a disease-modifying effect².

The E4 allele of apolipoprotein E (APOE) is the largest genetic risk factor for sporadic, late-onset AD. The presence of a single copy of E4 increases the risk for Alzheimer's disease 3-fold and individuals with 2 copies are 15 times more likely to develop AD³. Data showing that APOE4 carriers begin to accumulate amyloid deposits earlier in life relative to non-carriers⁴ has led to the hypothesis that increased risk associated with an E4 genotype may be the result of the effects of APOE on A β production, turnover and/or clearance from the central nervous system (CNS).

The expression of genes encoding lipid-transport proteins, including APOE is transcriptionally regulated by the ligand-activated nuclear receptors, peroxisome proliferator-activated receptor gamma (PPAR γ) and liver X receptors (LXRs) which form obligate heterodimers with retinoid X receptors (RXRs)⁵. Additionally, activation of these receptors has been shown to affect the activation state of macrophage and microglia⁶. Based on the processes influenced by this nuclear receptor family it is a reasonable hypothesis that agonism of one or more members of the family could have beneficial effects on A β homeostasis in the CNS. In fact, several groups have demonstrated that agonism of the LXR receptor resulted in reduced amyloid plaque burden and/or soluble A β levels in amyloid precursor protein (APP) transgenic mouse models⁷⁻⁹. More recently it was reported that a highly selective, blood-brain barrier-permeant, RXR agonist, Bexarotene (Targretin), resulted in enhanced clearance of soluble A β in an APP transgenic mouse model in an APOE-dependent manner. In addition, A β plaque burden was reduced more than 50% within 72 hours. Further, Bexarotene treatment also resulted in a similar reduction (~25%) in brain interstitial fluid (ISF) levels of A β in non-transgenic, C57Bl/6 mice 7–12 hours following a single administration¹⁰.

In the following study, we sought to examine the effects of LXR/RXR agonism on A β homeostasis in the CNS of non-transgenic rats using the RXR agonist, Bexarotene and the LXR agonist, TO901317.

Materials and methods

In vivo pharmacodynamic studies: All procedures were approved by the Amgen Institutional Animal Care and Use Committee. Young male Sprague-Dawley rats (175–200 g) were purchased from Harlan (Indianapolis, IN) and were maintained on a 12h light/dark cycle with unrestricted access to food and water until use. Rats were dosed orally for 3 and 7 consecutive days with AMG8155, a proprietary small molecule BACE1 inhibitor, at 3 mg/kg in 2% HPMS and 1% Tween 80, pH 2, Bexarotene (Alfa Aesar, Ward Hill, MA)

at 100 mg/kg in 30% Labrasol, 1% Tween 20, 2% Providone and 0.05% BHA, pH7.0 (Vehicle 3), and TO901317, a LXR agonist (Fisher Scientific, Pittsburgh, PA), at 30 mg/kg in 0.5% NaCl, 2% Tween 80 (Vehicle 4). 4 hours post dose on the last day of study, rats were euthanized with CO₂ inhalation for 2 minutes and the cisterna magna was quickly exposed by removing the skin and muscle above it. Cerebrospinal fluid (CSF) was collected with a 30 gauge needle inserted through the dura membrane covering the cisterna magna. CSF samples with visible blood contamination were discarded. Blood was withdrawn by cardiac puncture and plasma was obtained by centrifugation at 15,000 rpm for 10 min at 4°C for drug exposure. Brains were removed and, along with the CSF, immediately frozen on dry ice and stored at -80°C until use. The frozen brains were subsequently homogenized in 10 volumes (w/v) of 0.5% Triton X-100 in TBS with protease inhibitors cocktails. The homogenates were centrifuged at 355,000 rpm for 30 min at 4°C.

Quantification of A β 40 and APOE in brain and CSF: Samples are analyzed for A β levels by immunoassay with a MSD imager. Briefly, 96-well avidin plates (MesoScale Discovery, Inc., Gaithersburg, MD) were coated with biotinylated-anti-A β antibody 4G8 (mouse monoclonal, Cat# Sig 39240-1000, Covance Research Products, Princeton, NJ) at 10 μ g/ml in PBS. Samples were co-incubated in the plate overnight at 4°C along with a ruthenium-labeled anti-A β antibody specific for the C-terminal region of A β 40 (ConFab40; Amgen, Thousand Oaks, CA). Plates were then washed, 150 μ l/well read buffer T (MesoScale Discovery, Inc.) was added, and plates were read immediately on a Sector 6000 imager according to the manufacturer's recommended protocol (MesoScale Discovery, Inc.). All samples were assayed in triplicate and analyzed by using Prism version 5.04 (GraphPad Software Inc., San Diego, CA). Data was analyzed by one-way analysis of variance and Dunnett's multiple comparison test.

APOE levels in brain (50 μ g homogenates) and CSF (10 μ l) were analyzed by Western blot following PAGE using 4–12% Bis-Tris gels (Invitrogen, Carlsbad, CA). Blots were probed with primary antibodies to APOE (goat polyclonal, EMD Millipore; 1:1000) and the loading control, actin (ThermoFisher Scientific; 1:200) for 60 min at 4°C and then washed with TBST (Tris-buffered saline, 0.1% Tween 20) three times at room temperature, followed by (Goat-anti-mouse) secondary antibody (ThermoFisher Scientific; 1:1000) for 30 min at 4°C. Densitometric analysis of ApoE was performed (exposure time of 4 minutes with a relative intensity of 2.0, Odyssey imaging system, with application software Version 3.0) followed by an unpaired t-test using GraphPad Prism 5.04 software.

Measurement of Plasma, CSF, and Brain Drug Concentration:

Aliquots of plasma (50 μ l) were combined with 300 μ l of acetonitrile containing 125 μ l structurally related internal standard (IS), vortexed, and centrifuged. Supernatant was transferred into a plain polypropylene 96-well plate for sample analysis. Brain tissue samples were homogenized by using a Covaris (Woburn, MA) acoustic homogenizer. Aliquots of 50 μ l homogenate were combined with acetonitrile containing a structurally related IS, vortexed, and centrifuged at 1,900 g for 5 minutes. Supernatant was transferred into a 96-well plate for sample analysis. Analytical standards and tissues were measured by liquid chromatography mass spectrometry (Shimadzu Pumps Autosampler Prominence for HPLC and PE Sciex API 4000 for MS, with Analyst 1.6.1 software) using

atmospheric-pressure chemical ionization and multiple reaction monitoring in the positive ion mode.

Results

Our aim in this study was to investigate the effects of RXR/LXR agonism on A β homeostasis in the CNS of non-transgenic rats using the RXR agonist, Bexarotene and the LXR agonist, TO901317. As a positive control, we included a β -secretase inhibitor (AMG8155). Compounds and appropriate vehicle controls were administered to naïve Sprague Dawley rats at doses indicated in Table 1 for either 3 or 7 consecutive days.

Following 3 and 7 days of dosing, animals were evaluated for both compound levels and pharmacodynamic endpoints. APOE levels were quantitated in brain homogenate and CSF by Western blot.

Table 1. Dosing Table.

Group	Dose (mg/kg)
Bexarotene	100
AMG8155	3
TO901317	30

Table 1 lists the 3 compounds tested in this study along with the respective doses (mg/kg).

A β 40 levels were quantitated in the same compartments using immunoassay as described in the Materials and methods section. Following 3 and 7 days of dosing, APOE levels were increased in brain and CSF in the TO901317 treated animals compared to vehicle treated animals (Figure 1). Changes in CSF were statistically

Figure 1. LXR agonist, TO901317 significantly increased APOE levels in rat CSF following 3 and 7 days of dosing at 30 mg/kg. APOE was also increased in brain however the changes only reached statistical significance at day 3. **A)** Western blot analysis of APOE in brain and CSF. **B)** Densitometric analysis of the bands was performed as described in the Materials and Methods section; data are presented as the mean plus standard deviation; Vehicle 4 (black bars) and TO901317 (gray bars).

significant at both 3 ($p = 0.0002$) and 7 days ($p = 0.0007$) whereas changes in brain were statistically significant at day 3 ($p = 0.030$) but did not reach significance at day 7 ($p = 0.056$). Bexarotene treatment also resulted in a statistically significant increase in CSF APOE levels compared to vehicle treated animals following both 3 ($p = 0.019$) and 7 days ($p = 0.002$) of dosing (Figure 2). APOE levels in brain following Bexarotene treatment trended towards an increase however these changes were not statistically significant. Soluble A β 40 levels were unchanged in brain and CSF following 3-day (Figure 3) and 7-day (Figure 4) treatment with either

Bexarotene or TO901317. The positive control BACE inhibitor, AMG8155 effectively reduced A β 40 levels by 70% and 71% in CSF and by 67% and 69% in brain in the 3-day and 7-day studies respectively (Figure 3 and Figure 4).

Drug levels of Bexarotene and TO901317 were measured in plasma and brain homogenate following 3 and 7 days of dosing (Table 2). Total levels of both compounds achieved single-digit to low double-digit μ M levels in brain and showed good uptake in brain relative to plasma in both dosing paradigms.

Figure 2. RXR agonist, Bexarotene significantly increased APOE in rat CSF following 3 and 7 days of dosing at 100 mg/kg. APOE changes in brain were not statistically significant. **A)** Western blot analysis of APOE in brain and CSF. **B)** Densitometric analysis of the bands was performed as described in the Materials and Methods section; data are presented as the mean plus standard deviation; Vehicle 4 (black bars) and Bexarotene (gray bars).

Figure 3. A β 40 levels in (A) CSF and (B) brain were unchanged following 3 days of treatment with Bexarotene (triangles) or T0901317 (diamonds). Positive control BACE inhibitor AMG8155 (squares) reduced A β 40 levels 70 and 67% in CSF and brain respectively following a single administration.

Figure 4. A β 40 levels in (A) CSF and (B) brain were unchanged following 7 days of treatment with Bexarotene (triangles) or T0901317 (diamonds). Positive control BACE inhibitor AMG8155 (squares) reduced A β 40 levels 71 and 69% in CSF and brain respectively following a single administration.

Table 2. Compound Exposure Table.

Treatment Duration	Compound	[brain] _t , μM	[plasma] _t , μM	[brain] _t /[plasma] _t
3 days	Bexarotene (100 mg/kg)	6.26	5.74	1.09
	TO901317 (30 mg/kg)	14.44	5.30	2.72
7 days	Bexarotene (100 mg/kg)	3.41	4.76	0.72
	TO901317 (30 mg/kg)	11.68	4.30	2.72

Following 3 and 7 days of dosing, compound levels were measured in brain homogenate and plasma. Total (t) compound concentrations (μM) are reported in each case. The brain to plasma ratio is also shown (far right-hand column).

Conclusion

In this study we demonstrate that 3-day or 7-day treatment of naïve rats with the LXR agonist, TO901317 or RXR agonist, Bexarotene treatment resulted in an increase in APOE levels in CSF. No changes were observed in CSF or brain Aβ₄₀ levels with either compound after 3 or 7 days of dosing. We hope that these findings will stimulate further discussion in the Alzheimer's research community on the impact of LXR/RXR agonism on central Aβ homeostasis.

Data availability

Open Science Framework: Dataset: Effect of LXR/RXR agonism on brain and CSF Aβ₄₀ levels in rats, doi: [10.17605/OSF.IO/3NS64](https://doi.org/10.17605/OSF.IO/3NS64)¹¹

Author contributions

S. Wang: Participated in research design; wrote or contributed to the writing of the manuscript.

P. Wen: Participated in research design; conducted experiments; performed data analysis; wrote or contributed to the writing of the manuscript.

S. Wood: Participated in research design; performed data analysis; wrote or contributed to the writing of the manuscript.

Competing interests

All authors are employees and stockholders of Amgen, Inc.

Grant information

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In this manuscript, Wang and colleagues report that treatment for 3 and 7 d bexarotene (Bex, an RXR agonist) or T0901317 (TO, an LXR agonist) induce an increase in apoE levels and but have no effect on A β 40 in the CSF and brain of rats. While the subject matter is timely, the conclusions must be taken with reservations because of three major concerns:

1. Limited readouts and regions analyzed. It has been previously demonstrated that changes in the levels of A β 40 and apoE in CSF and brain do not always correlate with efficacy in FAD-Tg mice^{1,2}. Furthermore, it has been shown that an increase of apoE levels by Bex can be beneficial or detrimental depending on the isoform of apoE³ or the brain region analyzed³.
2. The exclusion of A β 42. It has been reported that CSF A β 42 levels, and not A β 40, are increased in AD patients compared to controls⁴.
3. Comparison to previous reports. Suon and colleagues (2010)⁵ treated rats with LXR agonists TO (at the same dose) or GW3965 and reported an increase in apoE that correlated with an increase in A β 40/42 levels in CSF and a reduction of A β 40 in brain⁵. Wang and colleagues should reconcile their results with this work specifically and interpret their work in the context of the field in general.

A minor observation is that in Figure 1A the vehicle is mislabeled as Vehicle 3 (should be Vehicle 4 for T0901317)

Within the AD field, there is an ongoing discussion of the effects of Bex on soluble and deposited A β levels in the brain and cognition, with contradictory findings. This is likely due to several

confounding factors, including: variance within and across models and inconsistencies in the methods used to characterize and quantify the proteins of interest, particularly soluble A β . It is imperative to take these parameters into account when considering the efficacy of RXR or LXR agonists for AD therapeutics. As well, a phase I trial of Bex in AD patients recently concluded⁶. While these results may be of limited significance and were not available for the submission of this manuscript, inclusion of their results in a revision of the Discussion would be helpful.

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Competing Interests: No competing interests were disclosed.

We confirm that we have read this submission and believe that we have an appropriate level of expertise to confirm that it is of an acceptable scientific standard, however we have significant reservations, as outlined above.

Reviewer Report 29 February 2016

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Sam Gandy

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Some controversy has surrounded the report in *Science* from Landreth and colleagues showing that bexarotene reduces the brain amyloid plaque burden from APP transgenic mice by 50% or more in a matter of days. Several groups of experts attempted to replicate the dramatic Landreth results but the dramatic results were not replicable. The current *F1000Research* paper extends that replication attempt story by treating rats with bexarotene and then measuring APP

metabolites and apoE in CSF. In agreement with the "second wave" of bexarotene studies, there was no effect of bexarotene on CSF levels of A β 40 or A β 42. The one point of agreement of all studies was that bexarotene does indeed modulate CSF levels of apoE. This argues against the development of bexarotene mimetics as A β lowering agents for the treatment or prevention of Alzheimer's disease. However, inasmuch as elevating apoE may be beneficial in clinical situations via a non-A β -dependent pathway (see <http://www.alzforum.org/news/research-news/bexarotene-revisited-improves-mouse-memory-no-effect-plaques>), bexarotene does reproducibly modulate CSF levels of apoE. The discovery of the entity of SNAP (for review, see Jack *et al.*, 2016¹) indicates that about one-third of clinically diagnosed Alzheimer's patients undergo cognitive decline via some as yet unknown non-A β -dependent pathway.

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Competing Interests: No competing interests were disclosed.

I confirm that I have read this submission and believe that I have an appropriate level of expertise to confirm that it is of an acceptable scientific standard.

Comments on this article

Version 1

Reader Comment 11 Feb 2016

Gary Landreth, Case Western Reserve University, USA

Wang *et al.* have reported that they have failed to replicate the ability of bexarotene to clear soluble amyloid from the brain. The reason they failed is straightforward. This experiment has been repeated 6 times. This specific issue has been nicely summarized in a paper published by [Tesseur and De Strooper \(2013\)](#), who were authors of one of the 'Comments' on our Science paper. I draw your attention to the summary table in that paper. The reason Amgen was unable to reproduce our results was that they used the wrong drug formulation. We used the clinically approved formulation of bexarotene (TargretinTM), which is a microcrystalline form of the drug. Those laboratories who used this formulation found drug-induced ApoE expression and lowered Abeta levels. Those studies that solubilized the drug prior to administration failed to replicate the published results. The micronization of bexarotene results in very different pharmacokinetic compared to solubilized drug, which was clearly documented by [Chen et al. \(2014; Fig 8a\)](#) and in the FDA filings. The micronized form of the drug is slowly absorbed in the gut and results in sustained plasma (and brain) levels of the drug, whereas the solubilized drug is rapidly absorbed and cleared. The reason this is important is based on the well known mechanisms of nuclear receptor action. Nuclear receptors, like RXR, are ligand-activated transcription factors. Ligand binding to the receptor results in assembly of chromatin remodeling machinery on the enhancers and promoters of its target genes, resulting in epigenetic modifications that open chromatin structure, allowing

induction of gene expression. This process takes time, thus there must be sufficiently high drug levels over an extended interval in order for this to be achieved.

The formulation issue was explicitly discussed in the literature and the FDA filing for bexarotene and was detailed on our 'Response' to the 'Comments' in Science (Landreth *et al.*, 2013). The Amgen scientists (and others) clearly did not make an effort to understand and replicate the original study design, nor appreciate the importance of the formulation of the drug as it relates to nuclear receptor action. Although the Amgen study was narrowly focused on ApoE and soluble Abeta 40 and did not examine other aspects of our work, the same considerations apply to other contested outcomes of our study.

The Amgen study employed wild type Sprague-Dawley rats, whereas all other studies with bexarotene used murine models of AD. A study of the LXR agonist TO9001317 in rats that was similar to that of Amgen was published by Merck (Suon *et al.*, 2010) and was only partially replicated in the Amgen study and was not cited.

Our work on bexarotene has led to clinical examination of its effects in Alzheimer's disease. Cummings and colleagues published the outcome of a phase II study of bexarotene in mild to moderate AD patients last week (Cummings *et al.*, 2016). While bexarotene treatment did not have a demonstrable effect in individuals possessing an ApoE4 allele, individuals that did not have an ApoE4 gene (representing about 80% of the population) exhibited a robust reduction in brain amyloid with a parallel appearance of Abeta42 in plasma. Thus, a brief 30 day treatment altered two canonical biomarkers of AD in patients with diagnosed disease. These findings are consonant with a recent case report reporting cognitive improvement with bexarotene treatment (Pierrot *et al.* 2015). I hasten to add that the small study size precludes making any hard conclusions from this trial and they should be interpreted cautiously. However, these preliminary clinical findings support and validate our original report using mouse models of the disease.

I don't think the Amgen study adds anything to what was previously known. The work has a logical flaw that undermines the conclusion that they were unable to repeat our study outcomes, including the use of a different model. I think this study is emblematic of the problems associated with reporting 'failure to replicate' findings in studies that do not genuinely reproduce the published work.

Competing Interests: No competing interests were disclosed.

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